RECEIPT #

AMOUNT

APPLYING IFP

JUDGE

MAG. JUDGE

JS 44 (Rev. 04/21)

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.) **DEFENDANTS** I. (a) PLAINTIFFS Cetera Investment Services LLC; Shane Boehm; Inland Real Joseph Caruso Estate Investment Corporation, et al. (b) County of Residence of First Listed Plaintiff Multnomah County of Residence of First Listed Defendant (EXCEPT IN U.S. PLAINTIFF CASES) (IN U.S. PLAINTIFF CASES ONLY) IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED. (c) Attorneys (Firm Name, Address, and Telephone Number) Attorneys (If Known) P. Andrew McStay Jr., Meagan Himes, Davis Wright Michael A. Cox, 12360 SW Chandler Dr., Tigard, OR Tremaine LLP, 1300 SW 5th Ave, #2400, Portland OR 97224, (503) 737-4308 97201 (503) 241-2300 II. BASIS OF JURISDICTION (Place an "X" in One Box Only) III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff (For Diversity Cases Only) and One Box for Defendant) U.S. Government 3 Federal Question Plaintiff (U.S. Government Not a Party) Citizen of This State **x** 1 1 Incorporated or Principal Place 4 of Business In This State 2 U.S. Government ¥ 4 Diversity Citizen of Another State Incorporated and Principal Place **x** 5 Defendant (Indicate Citizenship of Parties in Item III) of Business In Another State Citizen or Subject of a 3 Foreign Nation Foreign Country IV. NATURE OF SUIT (Place an "X" in One Box Only) Click here for: Nature of Suit Code Descriptions. CONTRACT TORTS FORFEITURE/PENALTY BANKRUPTCY OTHER STATUTES PERSONAL INJURY 110 Insurance PERSONAL INJURY 625 Drug Related Seizure 422 Appeal 28 USC 158 375 False Claims Act 120 Marine 310 Airplane 365 Personal Injury of Property 21 USC 881 423 Withdrawal 376 Oui Tam (31 USC 315 Airplane Product 28 USC 157 3729(a)) 130 Miller Act Product Liability 140 Negotiable Instrument Liability 367 Health Care/ 400 State Reapportionment INTELLECTUAL 150 Recovery of Overpayment 320 Assault, Libel & Pharmaceutical PROPERTY RIGHTS 410 Antitrust & Enforcement of Judgment Slander Personal Injury 820 Copyrights 430 Banks and Banking 151 Medicare Act 330 Federal Employers' Product Liability 450 Commerce 830 Patent 152 Recovery of Defaulted Liability 368 Asbestos Personal 460 Deportation 835 Patent - Abbreviated Student Loans 340 Marine Injury Product 470 Racketeer Influenced and New Drug Application (Excludes Veterans) 345 Marine Product Liability Corrupt Organizations 840 Trademark 153 Recovery of Overpayment Liability PERSONAL PROPERTY LABOR 480 Consumer Credit 880 Defend Trade Secrets x 370 Other Fraud (15 USC 1681 or 1692) of Veteran's Benefits 350 Motor Vehicle 710 Fair Labor Standards Act of 2016 485 Telephone Consumer 160 Stockholders' Suits 355 Motor Vehicle 371 Truth in Lending Act 720 Labor/Management 190 Other Contract Product Liability 380 Other Personal SOCIAL SECURITY Protection Act 195 Contract Product Liability 360 Other Personal Property Damage 861 HIA (1395ff) 490 Cable/Sat TV Relations 196 Franchise 385 Property Damage 740 Railway Labor Act 862 Black Lung (923) 850 Securities/Commodities/ Injury 362 Personal Injury -Product Liability 751 Family and Medical 863 DIWC/DIWW (405(g)) Exchange Medical Malpractice Leave Act 864 SSID Title XVI 890 Other Statutory Actions REAL PROPERTY CIVIL RIGHTS PRISONER PETITIONS 790 Other Labor Litigation 865 RSI (405(g)) 891 Agricultural Acts 210 Land Condemnation 440 Other Civil Rights 791 Employee Retirement Habeas Corpus: 893 Environmental Matters 441 Voting 220 Foreclosure 463 Alien Detainee Income Security Act FEDERAL TAX SUITS 895 Freedom of Information 230 Rent Lease & Ejectment 442 Employment 510 Motions to Vacate 870 Taxes (U.S. Plaintiff Act 240 Torts to Land 896 Arbitration 443 Housing/ Sentence or Defendant) 245 Tort Product Liability Accommodations 530 General 871 IRS-Third Party 899 Administrative Procedure 535 Death Penalty 45 Amer w/Disabilities 26 USC 7609 Act/Review or Appeal of 290 All Other Real Property IMMIGRATION 462 Naturalization Application Employment Other: Agency Decision 446 Amer. w/Disabilities 540 Mandamus & Other 465 Other Immigration 950 Constitutionality of Other 550 Civil Rights State Statutes Actions 448 Education 555 Prison Condition 560 Civil Detainee Conditions of Confinement V. ORIGIN (Place an "X" in One Box Only) 2 Removed from 5 Transferred from 4 Reinstated or 6 Multidistrict 8 Multidistrict Original Remanded from Proceeding State Court Appellate Court Reopened Another District Litigation -Litigation -Transfer Direct File Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 28 U.S.C. 1332, 1441 and 1446 VI. CAUSE OF ACTION Brief description of cause: Claims for fraud, negligent misrepresentation, negligence, breach of fiduciary duty, securities fraud and elder abuse VII. REQUESTED IN CHECK IF THIS IS A CLASS ACTION **DEMAND \$** CHECK YES only if demanded in complaint: UNDER RULE 23, F.R.Cv.P. 1,650,000 **COMPLAINT:** JURY DEMAND: × No VIII. RELATED CASE(S) (See instructions): IF ANY JUDGE DOCKET NUMBER DATE SIGNATURE OF ATTORNEY OF RECORD s/ P. Andrew McStay, Jr. Mar 21, 2022 FOR OFFICE USE ONLY